



National Environmental Satellite, Data, and Information Service

US NODC Archival Management Practices and the OAIS Reference Model

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NASA/IEEE MSST 2004

12th NASA Goddard/21st IEEE Conference on

Mass Storage Systems & Technologies

The Inn and Conference Center

University of Maryland University College

Adelphi MD USA

April 13-16, 2004



NODC AMS and the OAIS RM

- **What is the Open Archival Information System Reference Model?**
- **What is the NODC Archive Management System?**
- **How are they related?**
- **What is the benefit to the oceanographic community?**

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Open Archival Information System (OAIS) Reference Model

- **Authored initially by the Consultative Committee for Space Data Systems**
- **ISO 14721:2002**
- **Common language to describe digital archives components, functions, and processes**
- **Main components of an OAIS**
 - Participants
 - Information packages
 - Process definitions
 - Function definitions

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OAIS RM Participants

- **Producers - collects or creates original data and documentation**
- **Consumers/Designated Community - data users**
- **OAIS/Archive - system to manage data for the Long Term**
- **Management - manages and sets policy for the OAIS/Archive**

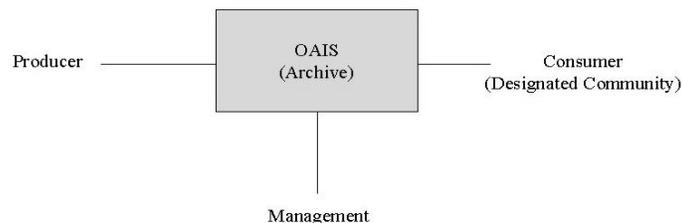


Figure 1. OAIS environment model. (After CCSDS, 2002, p. 2-2)



OAIS RM Components

- **Data and Representation Information**
- **Information Object**
 - Submission Information Package (SIP)
 - Archival IP (AIP)
 - Dissemination IP (DIP)
- **An IP contains**
 - Content Information
 - Packaging Information
 - Description Information
 - Preservation Description Information

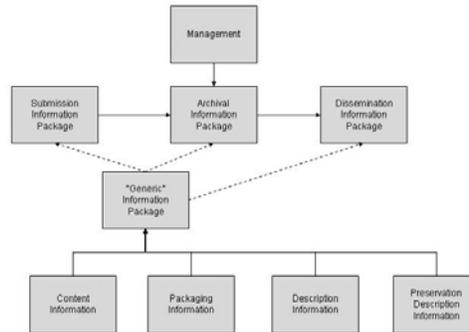


Figure 2. Schematic diagram of information Package objects and the high-level relationships between SIP, AIP, DIP, and Management (after Sawyer, 2002).

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OAIS RM Processes and Functions

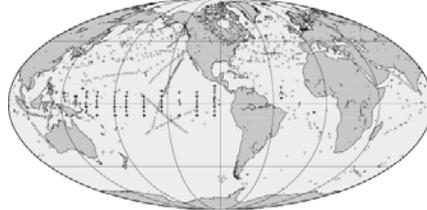
- **Data Submission processes**
 - Data Submission session(s)
 - Data Submission Agreement - terms of data transfer and responsibilities of Producer and OAIS
- **Ingest processes**
 - Procedures for bringing data into the OAIS
 - May require modification of data format(s)
- **Archival processes**
 - Media Migration
 - AIP Management
- **Data Dissemination processes**
 - Package Description
 - Access Aids, Finding Aids, Ordering Aids
 - Data Dissemination Session(s)

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National Oceanographic Data Center

Real-time stations loaded into GTSP database 12/29/2002-01/04/2003



- **NODC: Largest collection of *in situ* oceanographic observations**
 - >20,419 AIPs of *in situ* data
 - Physical, biological, chemical and geological data
 - Satellite observations and analyses
 - Model output
- **Management - Director, Deputy Director, 5 Divisions**
 - Coastal Ocean Laboratory/Database Management Division
 - Information Systems Management Division
 - NOAA Central Library
 - Ocean Climate Laboratory
 - National Coastal Data Development Center

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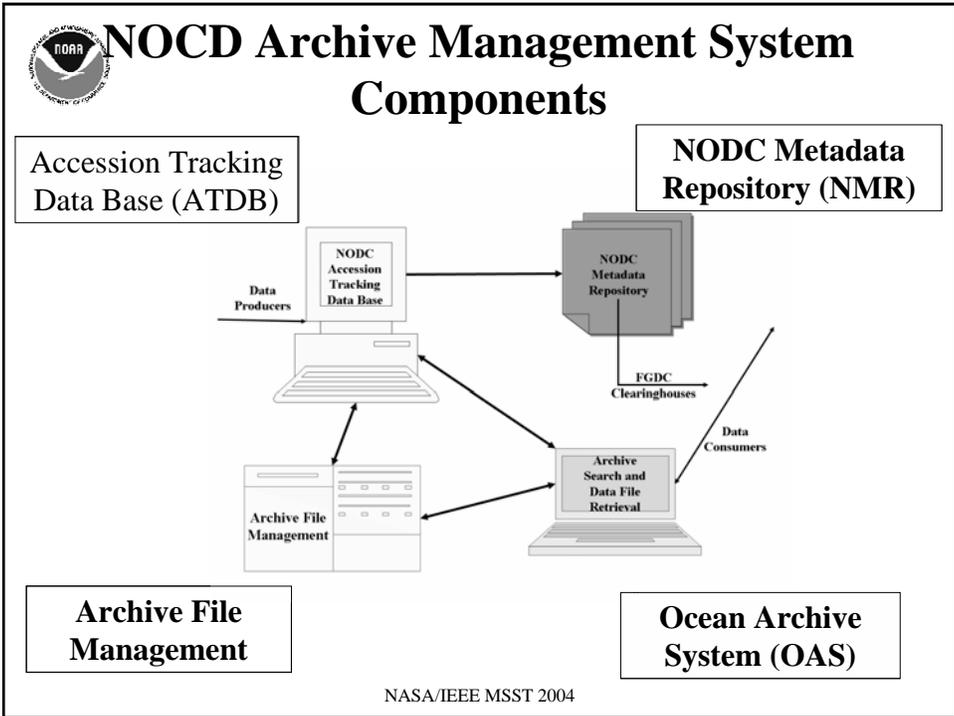
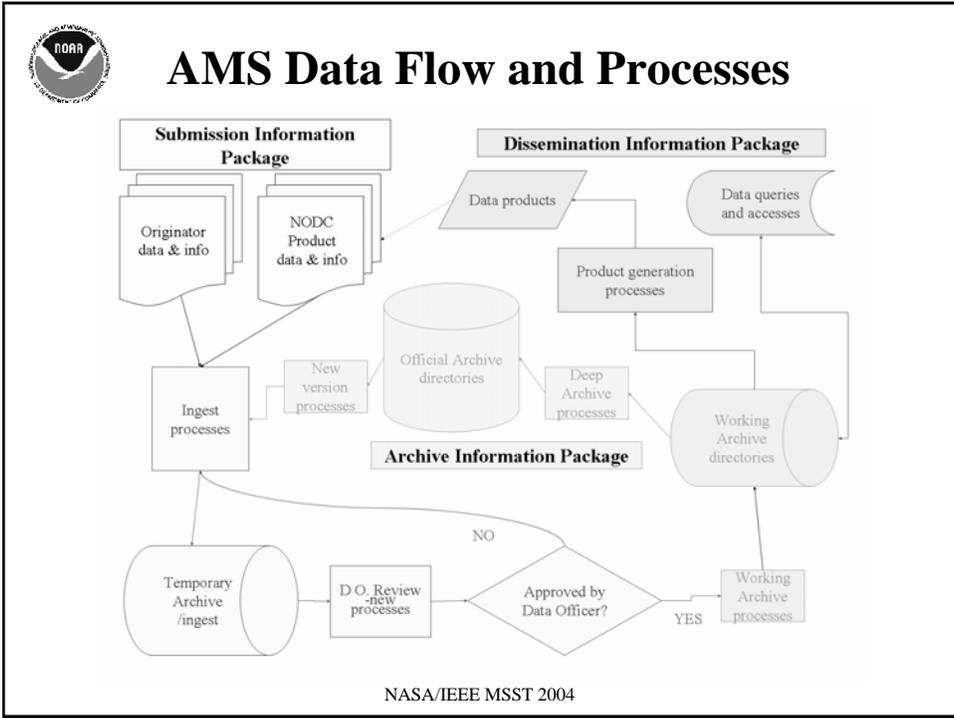


NODC Participants

- **Producers**
 - International and Intergovernmental Organizations
 - Universities and Private Research Institutions
 - Federal, State and Local Government Organizations
 - Industry (Oil & Gas, Environmental Consulting)
 - Foreign Governments and Universities
- **Designated Community / Consumers**
 - Federal, State and Local Government Organizations
 - Universities and Private Research Institutions
 - International and Intergovernmental Organizations
 - Industry (Oil & Gas, Environmental Consulting, Insurance)
 - K-12 Educators
 - General Public



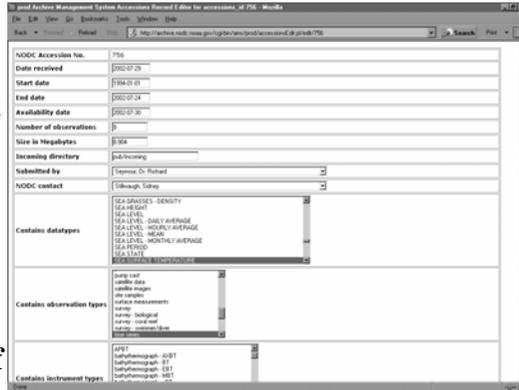
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Accession Tracking Data Base

- Generates unique Accession Number [1]
- Captures basic metadata and internal management information
- Exports FGDC XML metadata
- Uses controlled vocabularies
- Oversight/management of each AIP



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Archive File Management System

- Generates uniform directory tree structure for each AIP
- Creates MD5 message digests for file validation [1]
- Performs virus checks
- Provides for automated backups

```
##### - NODC Accession Number
#####.01-version.md5 - checksum digest for all files in this version
01-version/ - version directory (may be multiple versions)
  NODC-Readme.txt - file to explain this directory structure
  about/ - directory containing NODC-created information about this accession
  data/ - directory containing all translations of the data from the Producer
    0-data/ - directory containing exact copy of Submission Information Package
    1-data/ - directory containing translations of data in this SIP
```



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NODC Metadata Repository

- Stores and manages FGDC CSDGM-compliant metadata for each AIP [1]
- Allows for import and export via XML of metadata
- Search and discovery via FGDC CSDGM metadata (not yet available)

The screenshot displays a web browser window with the NODC Metadata Repository interface. The main content area shows a metadata record for 'TIME SERIES CTD from FIXED PLATFORM : 19930924 to 19960903 (NODC Accession No: 0000001)'. The record includes a title, description, and various metadata fields such as 'Organization: UNIVERSITY OF ALASKA, DEL. FAIRBANKS', 'Publication Date: 200012', and 'Time: TIME SERIES CTD from FIXED PLATFORM : 19930924 to 19960903 (NODC Accession No: 0000001)'. A 'Metadata' section lists categories like 'Identification Information', 'Data and Access Information', 'Distribution Information', and 'Metadata Reference Information'. Below this, there is a detailed 'Identification Information' section with fields for 'Title', 'Description', 'Abstract', 'Subject Terms', 'Supplemental Information', and 'NODCAppMetadata'.

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Ocean Archive System

- Consumer-driven search, discovery and retrieval via browser tools [1]
- Download DIP directly as a copy of the AIP
- Provides message digests to ensure download validity
- Data can be discovered, but not directly obtained, while in ingest process

The screenshot shows the Ocean Archive System search interface. The top navigation bar includes 'National Oceanographic Data Center' and 'Archive Management System'. The main content area displays search results for 'NODC Accession No: 0000001'. A table lists the search results, including columns for 'File Number', 'Accession No.', 'Project', 'File Name', 'Date', 'Time', 'Depth', 'Latitude', 'Longitude', 'Temperature', 'Salinity', 'Dissolved Oxygen', 'pH', 'Chlorophyll a', 'Fluorescence', 'Transmittance', 'CD (Chlorophyll a)', 'CD (Chlorophyll b)', 'CD (Chlorophyll c)', 'CD (Chlorophyll d)', 'CD (Chlorophyll e)', 'CD (Chlorophyll f)', 'CD (Chlorophyll g)', 'CD (Chlorophyll h)', 'CD (Chlorophyll i)', 'CD (Chlorophyll j)', 'CD (Chlorophyll k)', 'CD (Chlorophyll l)', 'CD (Chlorophyll m)', 'CD (Chlorophyll n)', 'CD (Chlorophyll o)', 'CD (Chlorophyll p)', 'CD (Chlorophyll q)', 'CD (Chlorophyll r)', 'CD (Chlorophyll s)', 'CD (Chlorophyll t)', 'CD (Chlorophyll u)', 'CD (Chlorophyll v)', 'CD (Chlorophyll w)', 'CD (Chlorophyll x)', 'CD (Chlorophyll y)', 'CD (Chlorophyll z)'. The table shows a single result for '0000001' with a file number of '0000001' and a date of '19930924'.

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What's Missing?

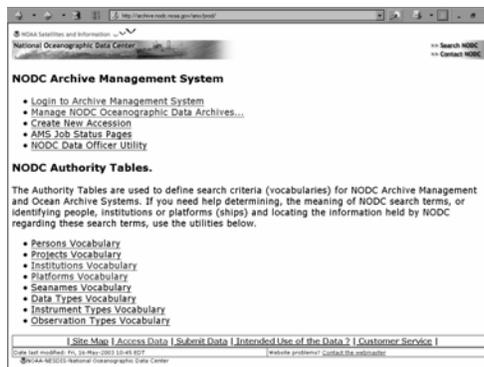
- **Data Submission Agreement**
 - Provides details of data to be submitted and the responsibilities of the NODC and the Producer regarding the SIP
 - Development of a standardized Data Submission Agreement is underway
- **Preservation Description Information**
 - Goes beyond metadata useful for discovery or re-use
 - AMS provides improved Content Information management
 - Difficult to obtain Detailed Preservation Information for historic data holdings

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AMS Benefits the Ocean Community

- **Long Term data management, archival maintenance and access at no direct cost to Producers**
- **Fulfills contractual obligations for federally funded research and NOAA Administrative Orders [1]**
- **Low cost access to global data from a reliable source**
- **Improved data management capabilities within NODC**



**NOAA National Data Centers
are utilizing the OAIS RM in
planning for the future**

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Acknowledgements

Additional Resources:

[1] Collins, Rutz, Ogata, Mitchell, Shirley, and Thailambal, 2004, Introducing the US NODC Archive Management System. Poster presented at the AGU Ocean Sciences 2004 Conference, Portland OR, January 2004.

Animated GIF image of GTSP stations courtesy of Steven B. Rutz.

Thank you for support and assistance with this paper:

NODC Management: Kurt Schnebele, Parmesh Dwivedi, Janice Beattie, Wayne Wilmot, and Lee Dantzer

Colleagues: Steve Rutz, Mai Edwards, and Ted Habermann

University of Maryland College of Information Studies: Lauren Brown and the students in the "Seminar in Archives, Records, and Information Management" Spring 2003

Donna Collins and the boys

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